



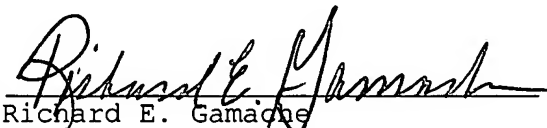
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Frank Joseph Pompei
Application No. : 10/697,208
Filed : October 30.2003
Confirmation No. : Unassigned
For : DIRECTED ACOUSTIC SOUND SYSTEM
Examiner : Unassigned
Attorney's Docket : HOLOS-009XX
TC Art Unit: Unassigned

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450 on 1/28/04.

By:


Richard E. Gamache
Registration No. 39,196
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the
enclosed references listed on the attached copy of PTO Form #1449. The
paragraph(s) marked below are applicable to this Information Disclosure
Statement.

- [X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached
Information Disclosure Statement is being filed within three
months of the filing date of the above identified national
application or within three months of the date of entry of the
national stage as set forth in 37 C.F.R. § 1.491 of the above
identified application. Accordingly, applicant(s) believes that
no fee or statement under 37 C.F.R. § 1.97(e) is required.

- [] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
- [] a statement under 37 CFR § 1.97(e); or
- [] the fee set forth in § 1.17(p).

PETITION UNDER 37 CFR § 1.97(d)

- [] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

- [] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

- [] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of

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Confirmation No.: Unassigned

information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Where the status of the application has changed, unknown to the applicant, such that the boxes checked are no longer applicable, the Commissioner is authorized to accept this submission with any additional fees required by that change charged to Deposit Account No. 23-0804.

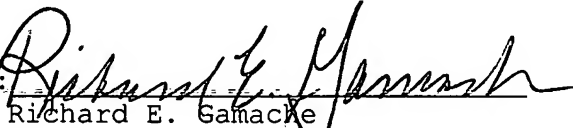
The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

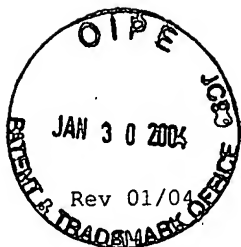
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REG/lkw/301258
Enclosure

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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 - [] a statement under 37 CFR § 1.97(e); or
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PETITION UNDER 37 CFR § 1.97(d)

- [] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

- [] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e) (2)

- [] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of

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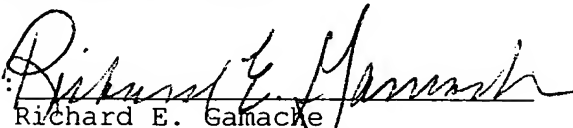
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Respectfully submitted,


FRANK JOSEPH POMPEI

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Richard E. Gamache
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Attorney for Applicant(s)

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REG/lkw/301258
Enclosure

Form PTO-1449 (REV. 8-83)				U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. HOLOS-009XX		APPLICATION NO. 10/697,208	
<div style="text-align: center;">  </div>				INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				APPLICANT: Frank Joseph Pompei			
				FILING DATE October 20, 2003				GROUP Unassigned			
U.S. PATENT DOCUMENTS											
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*				
		US3,398,810	08/27/68	W.T. Clark III	181	.5					
		US 4,081,626	03/28/78	Muggli et al.	179	111					
		US 4,404,489	09/13/83	Larson, III et al.	310	334					
		US 4,603,408	07/29/86	Singhal et al.	367	92					
		US 4,695,986	09/22/87	Hossack	367	140					
		US 4,823,908	04/25/89	Tanaka et al.	181	175					
		US 4,887,248	12/12/89	Griebeler	367	181					
		US 4,963,782	10/16/90	Bui et al.	310	358					
		US 5,287,331	02/15/94	Schindel et al.	367	140					
FOREIGN PATENT DOCUMENTS											
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO				
		WO/0011911	03/02/00	PCT							
		WO/0115491 A1	03/01/01	PCT							
		WO/0108449 A1	02/01/01	PCT							
		GB2151025A	07/10/85	UK							
OTHER DOCUMENTS <i>(including Author, Title, Date, Pertinent Pages, etc.)</i>											
		<i>10th International Symposium on Nonlinear Acoustics, On The Feasibility Of Narrow Beam Speech Transmission In Air Using Non Linear Interaction Of Ultrasonic Waves, Bindal Vishwa Nath. SAKSENA Tribhuwan Kimar and MUKESH Chandra, National Physical Laboratory, Hillside Road, New Delhi-110012, India, pp. 141-145</i>									
		<i>ACUSTICA, Vol. 4, 1954, No. 5, Condenser Transmitters And Microphones With Solid Dielectric For Airborne Ultrasonics, W. Kuhl, G.R. Schodder and F-K Schroder, III. Physikalisches Institute der Universitat Gottingen, pp. 519-532</i>									
EXAMINER					DATE CONSIDERED						
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											

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				INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			
APPLICANT Frank Joseph Pompei				FILING DATE October 30, 2003		GROUP Unassigned	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*	
	US 5,600,610	02/04/97	Hill et al.	367	181		
	US 6,044,160	03/28/00	Norris	381	191		
	US4,246,449	05/13/86	Biber	179	111		
	US4,588,917	05/13/86	Ratcliff	310	316		
	US5,161,128	11/03/92	Kenney	367	181		
	US5,619,476	04/08/97	Haller et al.	367	181		
	US5,859,915	01/12/99	Norris	381	75		
	US5,870,351	02/09/99	Ladabaum et al.	367	163		
	US5,982,709	11/09/99	Ladabaum et al.	367	170		
	US6,016,351	01/18/00	Raida et al.	381	77		

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)	

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